

**This form is to be utilized by employers who are subject to the Connecticut FMLA. The Connecticut FMLA applies to employers with 75 or more employees. Certain provisions from the U.S. DOL federal form WH-380 utilized for leaves taken pursuant to the federal FMLA have been eliminated because they are not applicable to the Connecticut FMLA. Such provisions are referenced here and are found in the federal form WH-380.**

\*\*\*\*\*

**Connecticut Department of Labor  
Wage and Workplace Standards Division**

**Family and Medical Leave Act  
Certification of Health Care Provider (Optional Form DOL-FM1)**

1. Employee's Name \_\_\_\_\_

2. Patient's Name (if different from employee) \_\_\_\_\_

3. A **“serious health condition”** under the Family and Medical Leave Act is defined on page 4 of this form. Does the patient's condition qualify under any of the categories described? If so, please check the applicable category.

(1)\_\_\_\_ (2)\_\_\_\_ (3)\_\_\_\_ (4)\_\_\_\_ (5)\_\_\_\_ (6)\_\_\_\_, or None of the above \_\_\_\_\_

4. Describe the **medical facts** which support your certification, including a brief statement as to how the medical facts meet the criteria of one of these categories:

5. a. State the approximate **date** the condition commenced, and the probable duration of the condition (and also the probable duration of the patient's present **incapacity\*\*** if different):

b. Will it be necessary for the employee to take work only **intermittently or to work on a less than full schedule** as a result of the condition (including for treatment described in Item 6 below)? \_\_\_\_\_ If yes, give the probable duration:

c. If the condition is a **chronic condition** (condition #4) or **pregnancy**, state whether the patient is presently incapacitated and the likely duration and frequency of **episodes of incapacity\*\***:

6.a. If additional **treatments\*\*\*** will be required for the condition, provide an estimate of the probable number of such treatments:

If the patient will be absent from work or other daily activities because of **treatment\*\*\*** on an **intermittent** or **part-time** basis, also provide an estimate of the probable number and interval between such treatments, actual or estimated dates of treatment if known, and period required for recovery if any:

b. If any of these treatments will be provided by **another provider of health services** (e.g., physical therapist), please state the nature of the treatments:

c. **If a regimen of continuing treatment\*\*\*\*** by the patient is required under your supervision, provide a general description of such regimen (e.g., prescription drugs, physical therapy requiring special equipment):

7.a. If medical leave is required for the employee's **absence from work** because of the **employee's own condition** (including absences due to pregnancy or a chronic condition), is the employee **unable to perform work** of any kind?

b. If a. does not apply, is it necessary for the employee to be **absent from work** for treatment? (**Reference to the employee's inability to perform the essential functions of the employee's job has been eliminated because such language is not applicable to Connecticut FMLA. See Section 7.b. of federal form WH-380.**)

8.a. If leave is required to **care for a family member** of the employee with a serious health condition, **does the patient require assistance** for basic medical or personal needs or safety, or for transportation?

b. If no, would the employee's presence to provide **psychological comfort** be beneficial to the patient or assist in the patient's recovery?

c. If the patient will need care only **intermittently** or on a part-time basis, please indicate the probable **duration** of this need:

---

(Signature of Health Care Provider) (Type of Practice)

---

(Address) (Telephone Number)

**To be completed by the employee needing family leave to care for a family member:**

State the care you will provide and an estimate of the period during which care will be provided, including a schedule if leave is to be taken intermittently or if it will be necessary for you to work less than a full schedule:

---

(Employee Signature) (Date)

\*Here and elsewhere on this form, the information sought relates **only** to the condition for which the employee is taking FMLA leave.

\*\*\***'Incapacity,'** for purposes of FMLA, is defined to mean inability to work, attend school or perform other regular daily activities due to the serious health condition, treatment therefor, or recovery therefrom.

\*\*\*Treatment includes examinations to determine if a serious health condition exists and evaluations of the condition. Treatment does not include routine physical examinations, eye examinations, or dental examinations.

\*\*\*\*A regimen of continuing treatment includes, for example, a course of prescription medication (e.g. antibiotic) or therapy requiring special equipment to resolve or alleviate the health condition. A regimen of treatment does not include the taking of over-the-counter medications such as aspirin, antihistamines, or salves; or bed-rest, drinking fluids, exercise, and other similar activities that can be initiated without a visit to a health care provider.

## Definition of Serious Health Condition

A “**Serious Health Condition**” means an illness, injury, impairment, or physical or mental condition that involves one of the following:

### 1. Hospital Care

**Inpatient care** (i.e., an overnight stay) in a hospital, hospice, or residential medical care facility, including any period of incapacity or subsequent treatment in connection with or consequent to such inpatient care.

### 2. Absence Plus Treatment

(a) A period of incapacity\*\* of **more than three consecutive calendar days** (including any subsequent treatment or period of incapacity\*\* relating to the same condition), that also involves:

(1) **Treatment\*\*\* two or more times** by a health care provider, by a nurse or physician’s assistant under direct supervision of a health care provider, or by a provider of health care services (e.g., physical therapist) under orders of, or on referral by, a health care provider; or

(2) **Treatment** by a health care provider on **at least one occasion** which results in a **regimen of continuing treatment\*\*\*\*** under the supervision of the health care provider.

### 3. Pregnancy

**Any period of incapacity due to pregnancy**, or for **prenatal** care.

### 4. Chronic Conditions Requiring Treatments

A **chronic condition** which:

(1) Requires **periodic visits** for treatment by a health care provider, or by a nurse or physician’s assistant under direct supervision of a health care provider;

(2) Continues over an **extended period of time** (including recurring episodes of a single underlying condition); and

(3) May cause **episodic** rather than a continuing period of incapacity (e.g., asthma, diabetes, epilepsy, etc.).

### 5. Permanent/Long-term Conditions Requiring Supervision

A period of **incapacity\*\*** which is **permanent or long-term** due to a condition for which treatment may not be effective. The employee or family member must be **under the continuing supervision of, but need not be receiving active treatment by, a health care provider**. Examples include Alzheimer’s, a severe stroke, or the terminal stages of a disease.

### 6. Multiple Treatments (Non-Chronic Conditions)

Any period of absence to receive **multiple treatments** (including any period of recovery therefrom) by a health care provider or by a provider of health care services under orders of, or on referral by, a health care provider, either for **restorative surgery** after an accident or other injury, or for a condition that **would likely result in a period of incapacity of more than three consecutive calendar days in the absence of medical intervention or treatment**, such as cancer (chemotherapy, radiation, etc.), severe arthritis (physical therapy), kidney disease (dialysis).

(See Page 3 of this form for explanation of asterisks)